



City of Rockwall  
*The New Horizon*

**REQUEST FOR QUALIFICATIONS (RFQ)  
FOR PROFESSIONAL SERVICES FOR  
NORTH GOLIAD STREET REIMAGINING PROJECT  
RFQ No.: 2026-001**

**CITY OF ROCKWALL**

**October 2025**

**CONTACT**  
Misty Farris  
Purchasing Agent  
385 S. Goliad  
Rockwall, Texas 75087

**REQUEST FOR QUALIFICATIONS (RFQ)  
FOR PROFESSIONAL SERVICES FOR  
CONCEPT PLANNING SERVICES FOR  
THE NORTH GOLIAD CORRIDOR**

The City of Rockwall invites the submittal of responses to this RFQ from qualified firms interested in providing professional services in connection with CONCEPT PLANNING SERVICES FOR THE NORTH GOLIAD CORRIDOR. Responses are solicited for this service in accordance with the terms, conditions and instructions set forth in the RFQ guidelines.

The City will receive responses to this RFQ at the offices of Misty Farris, Purchasing Agent, 385 S. Goliad, Rockwall, Texas 75087 **until 2:00 pm on October 22, 2025**. Any response received after **2:00 PM, local time, on October 22, 2025**, will be automatically rejected and returned to the proposer unopened. The Request for Qualifications document may be obtained from Ms. Farris' office or online at <https://www.rockwall.com/finance.asp> under the finance and purchasing section. Request for Qualifications documents will be mailed upon request; however, the City is not responsible to ensure timely delivery of mailed Request for Qualification documents.

Receipt of response does not bind the City to any contract for said services, nor does it guarantee that a contract for the Project will be awarded.

**Request for Qualifications**  
**RFQ NO. 2026-001**  
**North Goliad Street Reimagining Project**

**PROJECT OVERVIEW**

The City of Rockwall (City) is soliciting qualifications from experienced and qualified firms to provide concept planning services for the North Goliad Corridor Project. The project involves conducting preliminary environmental studies and developing preliminary design alternatives to widen North Goliad Street from the Downtown area northward to its intersection with John King Boulevard. **Responses are due on October 22, 2025.**

Anticipated studies and analyses include but are not limited to:

- Public input and stakeholder engagement
- Traffic impact studies (projected to the year 2050)
- Surveying and right-of-way needs determination
- Public and private utility conflict analysis
- Desktop and field surveys to determine potential environmental concerns

The selected firm will also prepare preliminary cost estimates to assist the City in securing funding for the environmental, design, and construction phases.

**RFQ EXHIBIT A – Basic Services**

The qualified firm will provide conceptual level design for improvements referenced above. The following tasks are anticipated to be part of this effort:

1. Obtain necessary rights of entry and provide all survey data needed for development of tasks below.
2. Provide preliminary environmental surveys to determine potential environmental issues .
3. Develop and analyze traffic data for alternative designs. Traffic should be projected to 2050 unless otherwise directed or approved by the City.
4. Develop typical roadway sections that accommodate vehicular traffic and pedestrian improvements.
5. Recommend appropriate intersection treatments based on traffic projections and pedestrian safety considerations.
6. Perform Quality Level C Subsurface Utility Engineering (SUE) for the project limits.
7. Provide roadway cross sections at street centerlines at a maximum of 100-foot intervals and at all intersecting streets and driveways.

8. Hold coordination meetings with City staff as needed (at least bi-monthly).
9. Provide conflict analysis with utility companies as needed.
10. Hold two public meetings to provide project briefings and receive stakeholder input.
11. Submit invoices as the work progresses but not more frequently than monthly. Each invoice will be accompanied by a brief update of accomplishments for the period covered and methodology used to calculate the percentage of the contract invoiced.
12. Concept plans will be in accordance with TxDOT and AASHTO guidelines and standards.
13. Provide ongoing cost estimates as requested (construction, engineering, ROW costs).

**DELIVERABLES:**

- Concept Plans to allow future design of chosen roadway alternative.
- Roadway cross sections
- Updated cost estimates (electronic and hard copy)
- SUE report (electronic and hard copy)
- Preliminary environmental survey

## **1.0 GENERAL INFORMATION:**

### **1.1 PURPOSE**

The City of Rockwall is soliciting information from qualified firms for concept planning services related to the City of Rockwall North Goliad Corridor Project.

### **1.2 SCOPE OF SERVICES:**

The purpose of this RFQ is to provide the City of Rockwall with information necessary to determine the qualifications of firms interested in providing concept planning services to assist Rockwall in developing preliminary environmental studies and preliminary design documents for the North Goliad Corridor Project.

Future funding for design, right-of-way acquisition, and construction will be determined after the concept planning is completed and may come from a number of sources including but not limited to City, County, TxDOT and NCTCOG when more definitive plans and estimates are determined.

There may be potential for federal funding of portions of the project through programs administered by the North Central Texas Council of Governments; therefore, the Concept plans must be in accordance with TxDOT and AASHTO guidelines and standards

### **QUALIFICATIONS**

City of Rockwall will consider the qualifications of offeror's personnel to provide services in accordance with applicable standards for transportation engineering consulting services including general civil, environmental, surveying, structural, and hydrology.

### **1.3 PROFESSIONAL SERVICES AGREEMENT**

City of Rockwall shall require the firm selected to execute a Professional Services Agreement upon award of a contract.

### **1.4 PROFESSIONAL LIABILITY INSURANCE**

The Respondent shall have the appropriate liability insurance written by an insurer authorized to transact insurance in the State of Texas..

## **2.0 GENERAL INFORMATION & REQUIREMENTS**

**2.1 PUBLIC INFORMATION:** All information, documentation, and other materials submitted in response to this solicitation are considered non-confidential and/or non-proprietary and are subject to public disclosure under the Texas Public Information Act (*Texas Government Code*, Chapter 552.001, *et seq.*) after a contract is awarded. The City strictly complies with all statutes, court decisions, and opinions of the Texas Attorney General with respect to disclosure of RFQ information.

**2.2 EVALUATION OF QUALIFICATIONS:** The evaluation of the Qualifications shall be based on the requirements described in this RFQ. All properly submitted Qualifications will be reviewed,

evaluated, and ranked by the City. Qualifications shall not include any information regarding respondent's fees, pricing, or other compensation.

**2.3 CITY'S RESERVATION OF RIGHTS:** The City may evaluate the Qualifications based on the anticipated completion of all or any portion of the Project. The City reserves the right to divide the Project into multiple parts, to reject any and all Qualifications, and re-solicit for new Qualifications. City makes no representations, written or oral, that it will enter into any form of agreement with any respondent to this RFQ for any project, and no such representation is intended or should be construed by the issuance of this RFQ.

**2.4 ACCEPTANCE OF EVALUATION METHODOLOGY:** By submitting its Qualifications in response to this RFQ, respondent accepts the evaluation process and acknowledges and accepts that determination of the "most qualified" firm(s) will require subjective judgments by the City.

**2.5 NO REIMBURSEMENT FOR COSTS:** Respondent acknowledges and accepts that any costs incurred from the respondent's participation in this RFQ shall be at the sole risk and responsibility of the respondent.

### **3.0 QUALIFICATIONS SUBMITTAL FORMAT**

The qualifications submittal shall be divided into tabbed, marked sections and shall include, but are not limited to, information for each of the following:

#### **RESPONDENT'S STATEMENT OF QUALIFICATIONS AND AVAILABILITY TO UNDERTAKE THE PROJECT (Maximum of two (2) printed pages per question)**

- 3.1 Provide a statement of interest for the project including a narrative describing the Prime Firm's and consultant's unique qualifications as they pertain to this particular project.
- 3.2 Provide a statement on the availability and commitment of the Prime Firm and consultant's assigned principal(s) and professionals to undertake the assigned project in accordance with the project planning schedule.
- 3.3 Provide a brief history of the Prime Firm and consultant(s) proposed for the assigned project including when the firms were established, type of ownership and office locations. If more than one office is listed, indicate the office that will manage the project. If the firm has changed name or ownership within the last three (3) years, indicate the former name.
- 3.4 Provide a listing of number of professional staff by discipline located in the office that will manage the project.
- 3.5 Provide an Organization Chart for the team proposed for the project.
- 3.6 Provide resumes of key personnel from the Prime Firm and consultants who will be assigned to this Project. Resumes limited to two (2) pages per person.
- 3.7 Is your company currently for sale or involved in any transaction to expand or to become acquired by another business entity? If yes, please explain the impact both in organizational and directional terms.

- 3.8 Provide any details of all past or pending litigation or claims filed against your company that would affect your company's performance under a Contract with the City.
- 3.9 Is your company currently in default on any loan agreement or financing agreement with any bank, financial institution, or other entity? If yes, specify date(s), details, circumstances, and prospects for resolution.
- 3.10 Does any relationship exist by relative, business associate, capital funding agreement, or any other such kinship between your firm and any City employee or elected official? If so, please explain.
- 3.11 Provide a claims history under professional malpractice insurance for the past five (5) years for the Prime Firm and any team members proposed to provide professional engineering services.

### **RESPONDENT'S PERFORMANCE ON PAST REPRESENTATIVE PROJECTS**

- 3.12 List a maximum of five (5) projects for which you have provided services that are most related to this project. List the projects in order of priority, with the most relevant project listed first. Provide the following information for each project listed:
  - 3.12.1 Project name, location, contract delivery method, and description
  - 3.12.2 Color images (photographic or machine reproductions)
  - 3.12.3 Final project size in linear feet.
  - 3.12.4 Type of road construction (new, renovation, or expansion)
  - 3.12.5 Actual start and finish dates for design
  - 3.12.6 Actual Notice to Proceed and Substantial Completion dates for construction
  - 3.12.7 Description of professional services Prime Firm provided for the project
  - 3.12.8 Name of Project Manager (individual responsible to the City for the overall success of the project)
  - 3.12.9 Name of Project Engineer (individual responsible for coordinating the day to day work)
  - 3.12.10 Name of Project Designer (individual responsible for design concepts)
  - 3.12.11 Prime Firm's References (for each project listed above, identify the following):
    - 3.12.11.1 The City's name and representative who served as the day-to-day liaison during the project, including telephone number.
    - 3.12.11.2 Length of business relationship with the City.
  - 3.12.12 Identify a maximum of three (3) completed projects, of any type, for which the Prime Firm received an award for design excellence from a recognized organization, and provide descriptive information for each.

References shall be considered relevant based on specific project participation and experience with the Respondent. The City may contact references during any part of this process. The City reserves the right to contact any other references at any time during the RFQ process.

## **RESPONDENT'S KNOWLEDGE OF BEST PRACTICES**

- 3.13 Describe the Prime Firm's design philosophy, design methodology, and its process for integrating institutional standards into design.
- 3.14 Describe the Prime Firm's quality assurance program explaining the method used and how the firm maintains quality control during the development of Construction Documents and quality assurance during the Construction phase of a project. Provide specific examples of how these techniques or procedures were used for any combination of two (2) projects listed in response to 3.12.
- 3.15 Describe your cost estimating methods for the design and construction phases. How do you develop cost estimates, and how often are they updated? For any combination of two (2) projects listed in response to 3.12, provide examples of how these techniques were used and what degree of accuracy was achieved.
- 3.16 Describe the way in which your firm develops and maintains work schedules to coordinate with the City's project schedule. For any combination of two (2) projects listed in response to Criteria 3.12, provide examples of how these techniques were used.
- 3.17 Describe the types of records, reports, monitoring systems, and information management systems which your firm used in the management of the projects listed above. Describe how you used these systems for any combination of two (2) projects listed in response to 3.12.
- 3.18 Describe how you plan to ensure continuity of project objectives starting with design solution, moving through construction documents, and finishing with a constructed project that meets the City's requirements.
- 3.19 Describe the project team's approach to assuring timely completion of this project, including methods you will use for schedule recovery if necessary.
- 3.20 Describe how you track City input and review comments on your design document submittals to confirm that they have been addressed. Provide examples of reports/logs used for tracking response to and closure on City comments.



## **RESPONDENT'S ABILITY TO IDENTIFY AND RESOLVE PROBLEMS ON PAST PROJECTS**

- 3.21 Describe your understanding of the administrative challenges and opportunities associated with providing Design and Construction Administration services for the assigned road project and your strategy for resolving these issues.
- 3.22 What do you perceive are the critical issues for this project?
- 3.23 Understanding schedule limitations, provide an analysis of the City's project planning schedule, and describe how you plan to develop and communicate design, scope, and budget options in a form that will quickly facilitate the City's decision making.
- 3.24 For any two (2) of the projects listed in response to 3.12, describe any conflicts with the City, Consultants, Contractor, or subcontractors, and describe the methods your firm used to resolve those conflicts.

<b>4.0 FORMAT FOR STATEMENT OF QUALIFICATIONS</b>
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### **GENERAL INSTRUCTIONS**

- 4.1 Qualifications shall be prepared SIMPLY AND ECONOMICALLY, providing a straightforward, CONCISE description of the respondent's ability to meet the requirements of this RFQ. Emphasis shall be on the QUALITY, completeness, clarity of content, responsiveness to the requirements, and an understanding of City's needs.
- 4.2 Qualifications shall be a MAXIMUM of fifty (50) single sided PRINTED PAGES in a minimum of 12 point font. The cover, table of contents, divider sheets, City of Rockwall RFQ document, and signature page do not count as printed pages.
- 4.3 Respondents shall carefully read the information contained in this RFQ and submit a complete response to all requirements and questions as directed. Incomplete qualifications will be considered non-responsive and subject to rejection.
- 4.4 Qualifications and any other information submitted by respondents in response to this RFQ shall become the property of the City.
- 4.5 The City will not compensate respondents for any expenses incurred in Qualifications preparation or for any presentations that may be made, unless agreed to in writing in advance or required by law. Respondents submit Qualifications at their own risk and expense.
- 4.6 Qualifications that are qualified with conditional clauses, alterations, items not called for in the RFQ documents, or irregularities of any kind are subject to rejection by the City, at its option.
- 4.7 The City makes no representations of any kind that an award will be made as a result of this RFQ or subsequent RFP. The City reserves the right to accept or reject any or all Qualifications, waive any formalities or minor technical inconsistencies, or delete any item/requirements from this RFQ when deemed to be in City's best interest.
- 4.8 Qualifications shall consist of answers to questions identified in Section 5 of the RFQ. It is not necessary to repeat the question in the Qualifications; however, it is essential to reference the question number with the corresponding answer.

**PAGE SIZE, BINDING, DIVIDERS, AND TABS:**

- 4.9 Qualifications shall be printed on letter-size (8-1/2" x 11") paper and GBC or spiral bound (no 3-ring binders).
- 4.10 Additional attachments shall NOT be included with the Qualifications. Only the responses provided by the respondent to the questions identified in Section 5 of this RFQ will be used by the City for evaluation.
- 4.11 Separate and identify each criteria response to Section 5 of this RFQ by use of a divider sheet with an integral tab for ready reference.

**TABLE OF CONTENTS:**

- 4.12 Submittals shall include a "Table of Contents" and page numbers for each part of the Qualifications.

**PAGINATION:**

- 4.13 Number all pages of the submittal sequentially using Arabic numerals (1, 2, 3, etc.)

**NUMBER OF COPIES**

- 4.14 Five (5) copies of the submittal and one (1) electronic copy in pdf format shall be provided.

**5.0 RANKING CRITERIA**

The evaluation of professional qualifications of the Proposers will be based on the following criteria:

<b>DESCRIPTION</b>	<b>POINTS</b>
Statement of Qualifications and Ability to Undertake the Project – Proposed Personnel	30
Respondent's Performance on Past Representative Projects	30
Respondent's Knowledge of Best Practices	15
Respondent's Ability to Identify and Resolve Problems on Past Projects	15
Respondent's Proposal Format	10
<b>TOTAL</b>	<b>100</b>

Upon completion of an unbiased review and ranking of the qualifications by a team comprised of various City staff, the City may choose to interview a number of the most highly ranked firms in the course of choosing the successful engineering firm.

## **6.0 SIGNATURE**

### **6.1 REPRESENTATIONS**

By signing below, Respondent represents and warrants that:

- 6.1.1 The Qualifications and all statements and information prepared and submitted in response to this RFQ are current, complete, true, and correct;
- 6.1.2 It is not given, nor intends to give at any time hereafter, any economic opportunity, future employment, gift, loan, gratuity, special discount trip, favor, or service to a public servant in connection with the submitted Qualifications or any subsequent proposal. Failure to sign below, or signing a false statement, may void the Response or any resulting contracts at the City's option, and the Respondent may be removed from all future proposal lists at this City;
- 6.1.3 The individual signing this document and the documents made part of the RFQ is authorized to sign such documents on behalf of the Respondent and to bind the Respondent under any contract which may result from the submission of the Response;
- 6.1.4 No relationship, whether as a relative or business associate, by capital funding agreement or by any other such kinship, exists between Respondent and an employee of The City of Rockwall, Texas;
- 6.1.5 No compensation has been received for participation in the preparation of this RFQ (ref. Section 2155.004 Texas Government Code);
- 6.1.6 Respondent complies with all federal laws and regulations pertaining to Equal Employment Opportunities and Affirmative Action;
- 6.1.7 To the best of its knowledge, no member of the City of Rockwall Commission or Elected official has a financial interest, directly or indirectly, in the Project; *and*
- 6.1.8 The successful firm will be selected based on demonstrated competence and qualifications only.

#### **TYPE OR PRINT:**

\_\_\_\_\_  
FIRM NAME

\_\_\_\_\_  
AUTHORIZED REPRESENTATIVE & TITLE

\_\_\_\_\_  
STREET ADDRESS and/or P.O. BOX NO.

\_\_\_\_\_  
PHONE: ( )  
TELEPHONE NUMBER

\_\_\_\_\_  
CITY/STATE/ZIP CODE

\_\_\_\_\_  
FAX: ( )  
FAX NUMBER

\_\_\_\_\_  
E-MAIL ADDRESS

\_\_\_\_\_  
SIGNATURE

\_\_\_\_\_  
DATE

# CONFLICT OF INTEREST QUESTIONNAIRE

For vendor doing business with local governmental entity

FORM CIQ

This questionnaire reflects changes made to the law by H.B. 23, 84th Leg., Regular Session.

This questionnaire is being filed in accordance with Chapter 176, Local Government Code, by a vendor who has a business relationship as defined by Section 176.001(1-a) with a local governmental entity and the vendor meets requirements under Section 176.006(a).

By law this questionnaire must be filed with the records administrator of the local governmental entity not later than the 7th business day after the date the vendor becomes aware of facts that require the statement to be filed. See Section 176.006(a-1), Local Government Code.

A vendor commits an offense if the vendor knowingly violates Section 176.006, Local Government Code. An offense under this section is a misdemeanor.

## OFFICE USE ONLY

Date Received

1 Name of vendor who has a business relationship with local governmental entity.

2 ☐ Check this box if you are filing an update to a previously filed questionnaire. (The law requires that you file an updated completed questionnaire with the appropriate filing authority not later than the 7th business day after the date on which you became aware that the originally filed questionnaire was incomplete or inaccurate.)

3 Name of local government officer about whom the information is being disclosed.

\_\_\_\_\_  
Name of Officer

4 Describe each employment or other business relationship with the local government officer, or a family member of the officer, as described by Section 176.003(a)(2)(A). Also describe any family relationship with the local government officer. Complete subparts A and B for each employment or business relationship described. Attach additional pages to this Form CIQ as necessary.

A. Is the local government officer or a family member of the officer receiving or likely to receive taxable income, other than investment income, from the vendor?

☐ Yes ☐ No

B. Is the vendor receiving or likely to receive taxable income, other than investment income, from or at the direction of the local government officer or a family member of the officer AND the taxable income is not received from the local governmental entity?

☐ Yes ☐ No

5 Describe each employment or business relationship that the vendor named in Section 1 maintains with a corporation or other business entity with respect to which the local government officer serves as an officer or director, or holds an ownership interest of one percent or more.

6 ☐ Check this box if the vendor has given the local government officer or a family member of the officer one or more gifts as described in Section 176.003(a)(2)(B), excluding gifts described in Section 176.003(a-1).

7

\_\_\_\_\_  
Name of signatory

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

## **CONFLICT OF INTEREST QUESTIONNAIRE**

### **For vendor doing business with local governmental entity**

A complete copy of Chapter 176 of the Local Government Code may be found at <http://www.statutes.legis.state.tx.us/Docs/LG/htm/LG.176.htm>. For easy reference, below are some of the sections cited on this form.

**Local Government Code § 176.001(1-a):** "Business relationship" means a connection between two or more parties based on commercial activity of one of the parties. The term does not include a connection based on:

- (A) a transaction that is subject to rate or fee regulation by a federal, state, or local governmental entity or an agency of a federal, state, or local governmental entity;
- (B) a transaction conducted at a price and subject to terms available to the public; or
- (C) a purchase or lease of goods or services from a person that is chartered by a state or federal agency and that is subject to regular examination by, and reporting to, that agency.

**Local Government Code § 176.003(a)(2)(A) and (B):**

- (a) A local government officer shall file a conflicts disclosure statement with respect to a vendor if:

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- (2) the vendor:

(A) has an employment or other business relationship with the local government officer or a family member of the officer that results in the officer or family member receiving taxable income, other than investment income, that exceeds \$2,500 during the 12-month period preceding the date that the officer becomes aware that

(i) a contract between the local governmental entity and vendor has been executed;  
or

(ii) the local governmental entity is considering entering into a contract with the vendor;

(B) has given to the local government officer or a family member of the officer one or more gifts that have an aggregate value of more than \$100 in the 12-month period preceding the date the officer becomes aware that:

- (i) a contract between the local governmental entity and vendor has been executed; or
- (ii) the local governmental entity is considering entering into a contract with the vendor.

**Local Government Code § 176.006(a) and (a-1)**

- (a) A vendor shall file a completed conflict of interest questionnaire if the vendor has a business relationship with a local governmental entity and:

(1) has an employment or other business relationship with a local government officer of that local governmental entity, or a family member of the officer, described by Section 176.003(a)(2)(A);

(2) has given a local government officer of that local governmental entity, or a family member of the officer, one or more gifts with the aggregate value specified by Section 176.003(a)(2)(B), excluding any gift described by Section 176.003(a-1); or

(3) has a family relationship with a local government officer of that local governmental entity.

- (a-1) The completed conflict of interest questionnaire must be filed with the appropriate records administrator not later than the seventh business day after the later of:

- (1) the date that the vendor:

(A) begins discussions or negotiations to enter into a contract with the local governmental entity; or

(B) submits to the local governmental entity an application, response to a request for proposals or bids, correspondence, or another writing related to a potential contract with the local governmental entity; or

- (2) the date the vendor becomes aware:

(A) of an employment or other business relationship with a local government officer, or a family member of the officer, described by Subsection (a);

(B) that the vendor has given one or more gifts described by Subsection (a); or

(C) of a family relationship with a local government officer.

**Request for Taxpayer  
Identification Number and Certification**

Go to [www.irs.gov/FormW9](http://www.irs.gov/FormW9) for instructions and the latest information.

**Give form to the  
requester. Do not  
send to the IRS.**

**Before you begin.** For guidance related to the purpose of Form W-9, see *Purpose of Form*, below.

Print or type. See Specific Instructions on page 3.	<b>1</b> Name of entity/individual. An entry is required. (For a sole proprietor or disregarded entity, enter the owner's name on line 1, and enter the business/disregarded entity's name on line 2.)		
	<b>2</b> Business name/disregarded entity name, if different from above.		
	<b>3a</b> Check the appropriate box for federal tax classification of the entity/individual whose name is entered on line 1. Check only <b>one</b> of the following seven boxes.  <input type="checkbox"/> Individual/sole proprietor <input type="checkbox"/> C corporation <input type="checkbox"/> S corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> LLC. Enter the tax classification (C = C corporation, S = S corporation, P = Partnership) . . . . . <b>Note:</b> Check the "LLC" box above and, in the entry space, enter the appropriate code (C, S, or P) for the tax classification of the LLC, unless it is a disregarded entity. A disregarded entity should instead check the appropriate box for the tax classification of its owner. <input type="checkbox"/> Other (see instructions) _____	<b>4</b> Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):  Exempt payee code (if any) _____  Exemption from Foreign Account Tax Compliance Act (FATCA) reporting code (if any) _____  (Applies to accounts maintained outside the United States.)	
	<b>3b</b> If on line 3a you checked "Partnership" or "Trust/estate," or checked "LLC" and entered "P" as its tax classification, and you are providing this form to a partnership, trust, or estate in which you have an ownership interest, check this box if you have any foreign partners, owners, or beneficiaries. See instructions . . . . . <input type="checkbox"/>		
	<b>5</b> Address (number, street, and apt. or suite no.). See instructions.	Requester's name and address (optional)	
	<b>6</b> City, state, and ZIP code		
	<b>7</b> List account number(s) here (optional)		

**Part I Taxpayer Identification Number (TIN)**

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

**Note:** If the account is in more than one name, see the instructions for line 1. See also *What Name and Number To Give the Requester* for guidelines on whose number to enter.

<b>Social security number</b>											
				-				-			
<b>or</b>											
<b>Employer identification number</b>											
					-						

**Part II Certification**

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and, generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

<b>Sign Here</b>	Signature of U.S. person	Date
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**General Instructions**

Section references are to the Internal Revenue Code unless otherwise noted.

**Future developments.** For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/FormW9](http://www.irs.gov/FormW9).

**What's New**

Line 3a has been modified to clarify how a disregarded entity completes this line. An LLC that is a disregarded entity should check the appropriate box for the tax classification of its owner. Otherwise, it should check the "LLC" box and enter its appropriate tax classification.

New line 3b has been added to this form. A flow-through entity is required to complete this line to indicate that it has direct or indirect foreign partners, owners, or beneficiaries when it provides the Form W-9 to another flow-through entity in which it has an ownership interest. This change is intended to provide a flow-through entity with information regarding the status of its indirect foreign partners, owners, or beneficiaries, so that it can satisfy any applicable reporting requirements. For example, a partnership that has any indirect foreign partners may be required to complete Schedules K-2 and K-3. See the Partnership Instructions for Schedules K-2 and K-3 (Form 1065).

**Purpose of Form**

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS is giving you this form because they

## Certificate of Interested Parties Form 1295

Pursuant to Section 2252 of the Texas Government Code, any business entity entering into a contract with a local government that requires approval of the governing body must submit a Disclosure of Interested Parties to the local government prior to the execution of the contract. The Texas Ethics Commission (TEC) has adopted a form for the Disclosure of Interested Parties (Form 1295) and has created a website application for business entities to submit the required information.

The City of Rockwall may not enter into a contract that requires the approval of the City Council until the business entity that is a party to the contract files a Form 1295 with the Texas Ethics Commission and the City of Rockwall Purchasing Department.

1. Upon being notified of a bid/recommended award, the award recipient, the business entity, must go the following website: <https://www.ethics.state.tx.us/filinginfo/1295/> and follow the login directions on the website application to complete a Form 1295. If this is a business entity's first login on to the website application, the business entity must create a login Username and Password then follow the application's instructions to complete a Form 1295.
2. The City does not have a Contract ID Number System. Please insert the City of Rockwall's bid or project number in this box **RFQ NO: 2026-001**.
3. Once confirmation is received, that the information has been submitted with the Texas Ethics Commission, the business entity MUST print, sign and date Form 1295.
4. Form 1295 must be filed with the Texas Ethics Commission within seven (7) business days of the date of notification of recommended award. The contract will not be presented to City Council until the form has been filed with the Texas Ethics Commission and the City of Rockwall has received Form 1295.
5. In no way does a request for filing of Form 1295 with the Texas Ethics Commission commit the City to any type of award whatsoever.
6. Once the City of Rockwall Purchasing Department receives Form 1295, the Purchasing Department will submit confirmation of receipt through the Texas Ethics Commission website within thirty (30) days.
7. This process must be followed for each contract requiring City of Rockwall Council approval.
8. A Form 1295 cannot be hand written. It must be completed electronically through the Texas Ethics Commission website application.

If you have any questions contact the City of Rockwall Purchasing Agent at (972) 771-7700, 385 S. Goliad St., Rockwall, Texas 75087.

**CERTIFICATE OF INTERESTED PARTIES****FORM 1295**

Complete Nos. 1 - 4 and 6 if there are interested parties.  
Complete Nos. 1, 2, 3, 5, and 6 if there are no interested parties.

**OFFICE USE ONLY**

1 Name of business entity filing form, and the city, state and country of the business entity's place of business.

2 Name of governmental entity or state agency that is a party to the contract for which the form is being filed.

3 Provide the identification number used by the governmental entity or state agency to track or identify the contract, and provide a description of the services, goods, or other property to be provided under the contract.

4 Name of Interested Party	City, State, Country (place of business)	Nature of Interest (check applicable)	
		Controlling	Intermediary

5 Check only if there is NO interested Party. ☐

**6 UNSWORN DECLARATION**

My name is \_\_\_\_\_, and my date of birth is \_\_\_\_\_.

My address \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_  
(street) (city) (state) (zip code) (country)

I declare under penalty of perjury that the foregoing is true and correct.

Executed in \_\_\_\_\_ County, State of \_\_\_\_\_, on the \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_  
(month) (year)

\_\_\_\_\_  
Signature of authorized agent of contracting business entity  
(Declarant)

**ADD ADDITIONAL PAGES AS NECESSARY**



## Israel Verification Form

I, \_\_\_\_\_, the undersigned representative of \_\_\_\_\_ (the “Company”) do hereby declare, represent, and verify that the Company, under the Provisions of Chapter 2271 of the Texas Government Code, as amended:

1. does not boycott Israel currently; and
2. will not boycott Israel during the term of the contract.

Pursuant to Section 2271.001 of the Texas Government Code:

1. “Boycott Israel” means refusing to deal with, terminating business activities with, or otherwise taking any action that is intended to penalize, inflict economic harm on, or limit commercial relations specifically with Israel, or with a person or entity doing business in Israel or in an Israeli-controlled territory, but does not include an action made for ordinary business purposes; and
2. “Company” means a for-profit organization, association, corporation, partnership, joint venture, limited partnership, limited liability partnership, or limited liability company, including a wholly owned subsidiary, majority-owned subsidiary, parent company, or affiliate of those entities or business associations that exist to make a profit.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature

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### EXCLUSION FROM CHAPTER 2271 OF THE TEXAS GOVERNMENT CODE

I, \_\_\_\_\_, the undersigned representative of \_\_\_\_\_ (the “Company”) do hereby declare, represent, and verify that the Company is excluded from Chapter 2271 because the contract in question:

- ☐ will be between a governmental entity and a company with fewer than 10 full-time employees;
- ☐ will have a value of less than \$100,000 that is to be paid wholly or partly from public funds of the governmental entity; or
- ☐ will be between a governmental entity and a sole proprietor.

Please check exceptions, if any, that apply to the potential contract between the City of Rockwall and the Company.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature

## Iran, Sudan and Foreign Terrorist Organizations Verification Form

I, \_\_\_\_\_, the undersigned representative of \_\_\_\_\_  
(the "Company") do hereby declare, represent, and verify that the Company, under the provisions of  
Chapter 2252 of the Texas Government Code, as amended:

1. will not do business with Iran, Sudan, or any foreign terrorist organization; and
2. will not do business with Iran, Sudan, or any foreign terrorist organization during the term of the contract.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature

## Firearm Verification Form

I, \_\_\_\_\_, the undersigned representative of \_\_\_\_\_ (the "Company") do hereby declare, represent, and verify that the Company, under the provisions of Chapter 2274 of the Texas Government Code, as amended:

1. does not have a practice, policy, guidance, or directive that discriminates against a firearm entity or firearm trade association; and
2. will not discriminate during the term of the contract against a firearm entity or firearm trade association.

Pursuant to Chapter 2274 and Section 809.001 of the Texas Government Code:

1. "Discriminate against a firearm entity or firearm trade association" means, with respect to the entity or association, to: (i) refuse to engage in the trade of any goods or services with the entity or association based solely on its status as a firearm entity or firearm trade association; (ii) refrain from continuing an existing business relationship with the entity or association based solely on its status as a firearm entity or firearm trade association; or (iii) terminate an existing business relationship with the entity or association based solely on its status as a firearm entity or firearm trade association.
2. "Company" means a for-profit organization, association, corporation, partnership, joint venture, limited partnership, limited liability partnership, or limited liability company, including a wholly owned subsidiary, majority-owned subsidiary, parent company, or affiliate of those entities or business associations that exist to make a profit.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature

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### EXCLUSION FROM CHAPTER 2274 OF THE TEXAS GOVERNMENT CODE

I, \_\_\_\_\_, the undersigned representative of \_\_\_\_\_ (the "Company") do hereby declare, represent, and verify that the Company is excluded from Chapter 2274 because the contract in question:

- ☐ will be between a governmental entity and a company with fewer than 10 full-time employees,
- ☐ will have a value of less than \$100,000 that is to be paid wholly or partly from public funds of the governmental entity;
- ☐ will be between a governmental entity and a sole proprietor; or
- ☐ is an exempt contract under Section 2274.003 of the Texas Government Code.

Please check exceptions, if any, that apply to the potential contract between the City of Rockwall and the Company.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature

## Prohibition of Contracts Discriminating Against Energy, & Energy Company Boycott Verification Form

I, \_\_\_\_\_, the undersigned representative of \_\_\_\_ (the "Company") do hereby declare, represent, and verify that the Company, under the provisions of Chapter 2276 of the Texas Government Code, as amended:

1. does not boycott energy companies currently; and
2. will not boycott energy companies during the term of the contract.

Pursuant to Chapter 2276 and Section 809.001 of the Texas Government Code:

1. "Boycott energy company" means, without an ordinary business purpose, refusing to deal with, terminating business activities with, or otherwise taking any action that is intended to penalize, inflict economic harm on, or limit commercial relations with a company because the company: (A) engages in the exploration, production, utilization, transportation, sale, or manufacturing of fossil fuel-based energy and does not commit or pledge to meet environmental standards beyond applicable federal and state law; or (B) does business with a company described by Paragraph (A).
2. "Company" means a for-profit organization, association, corporation, partnership, joint venture, limited partnership, limited liability partnership, or limited liability company, including a wholly owned subsidiary, majority-owned subsidiary, parent company, or affiliate of those entities or business associations that exist to make a profit.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature

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### EXCLUSION FROM CHAPTER 2276 OF THE TEXAS GOVERNMENT CODE

I, \_\_\_\_\_, the undersigned representative of \_\_\_\_\_ (the "Company") do hereby declare, represent, and verify that the Company is excluded from Chapter 2276 because the contract in question:

- ☐ will be between a governmental entity and a company with fewer than 10 full-time employees;
- ☐ will have a value of less than \$100,000 that is to be paid wholly or partly from public funds of the governmental entity; or
- ☐ will be between a governmental entity and a sole proprietor.

Please check exceptions, if any, that apply to the potential contract between the City of Rockwall and the Company.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature

## Critical Infrastructure Verification Form

To the extent this proposal relates to critical infrastructure in the State of Texas,

I, \_\_\_\_\_, the undersigned representative of \_\_\_\_\_ (the “Company”) do hereby declare, represent, and verify that the Company is not owned by or has the majority of stock or other ownership interest held by or controlled by: individuals who are citizens of China, Iran, North Korea, Russia, or a country designed by the Governor of Texas as a threat to critical infrastructure under Section 2275.0103 of the Texas Government Code as amended (“designated country”); or

1. a company or other entity, including a governmental entity, that is owned or controlled by citizens of or is directly controlled by the government of China, Iran, North Korea, Russia, or a designated country; or
2. it is not headquartered in China, Iran, North Korea, Russia, or a designated country.

The foregoing representation is made solely to comply with Chapter 2275 of the Texas Government Code, as amended, and to the extent such Section does not contravene applicable federal or State law. As used in the foregoing verification, “critical infrastructure” means a communication infrastructure system, cybersecurity system, electric grid, hazardous waste treatment system, or water treatment facility.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature

## Texas Public Information Act Verification Form

I, \_\_\_\_\_, the undersigned representative of \_\_\_\_\_ (the “Company”) do hereby acknowledge that the requirements of Subchapter J, Chapter 552, Government Code, may apply to this solicitation, proposal and any resultant contract, and agree that the contract can be terminated if the contractor or vendor knowingly or intentionally fails to comply with a requirement of that subchapter.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature

